## Texas Southern University Annual Investment Report (Including Deposits)

Investment or Deposit Type	August 31, 2012 Market Value
Publicly Traded Equity and Similar Investments	
Common Stock (U.S. and foreign stocks held in separately managed accounts or internally managed by	
institution investment staff; exclude mutual or commingled funds)	23,197,922.55
Equity/Stock Mutual Funds	
Balanced Mutual Funds (where target allocation is > 50% equities)	
"Commonfund" Equity Commingled Funds	
Other Equity Commingled Funds (if primarily invested in publicly traded equities) Preferred Stock	
Other - list by type Total Publicly Traded Equity and Similar Investments	23,197,922.55
Total Fublicity Traded Equity and Similar investments	23,197,922.33
"Other" Investments - Other than Publicly Traded Equity and Debt Investments	
Real Estate (include direct ownership & investments in real estate limited partnerships, private REITs, or	
similar vehicles; include a portfolio of publicly traded REITs if managed as a separate asset allocation	
category rather than comprising part of a broadly diversified stock portfolio)	
Other Real Asset Investments (e.g. investments in infrastructure funds)	
Private Equity	
"Commonfund" Alternative Asset Commingled Funds (Real Estate, Private Equity, Hedge Funds, Commodities, etc.)	
Annuities	
Commodities	
Collectibles	
Other - list by type	
Total "Other" Investments - Other than Publicly Traded Equity & Debt Investments	0.00
Publicly Traded Debt & Similar Investments>1 year maturity U.S. Government Securities ("Treasuries")	1,893,719.79
U.S. Government Agency Securities ("Agencies")	4,017,904.06
Mortgage Pass-Throughs - "Agency"	1,017,701.00
Mortgage Pass-Throughs - "Private Label"	
Asset-Backed Securities (ABS) (other than mortgage-backed securities)	
Sovereign Debt (non-U.S.)	
Municipal Obligations	
Collateralized Mortgage Obligations (CMOs) - list below by category	
Interest Only Strips (IOs)	
Principal Only Strips (POs)	
Inverse Floaters	
Stated Final Maturity longer than 10 years	
Other CMOs - "Agency" Other CMOs - "Private Label"	
Corporate Obligations (U.S. or foreign companies) - list below by rating Highly Rated (AAA/AA or equivalent)	2,396,261.69
Other Investment Grade (A/BBB or equivalent)	2,326,875.58
High Yield Bonds ( <bbb equivalent)<="" or="" td=""><td>616,464.12</td></bbb>	616,464.12
Not Rated (NR)	010,101.12
Fixed Income/Bond Mutual Funds (longer term; registered with the SEC)	
Balanced Mutual Funds (where target allocation is > 50% bonds or other debt securities)	

## Texas Southern University Annual Investment Report (Including Deposits)

"Commonfund" Fixed Income/Bond Commingled Funds Other Fixed Income/Bond Commingled Funds (primarily invested in publicly traded debt securities; not registered with the SEC) GICs (Guaranteed Investment Contracts) Other - list by type Total Publicly Traded Debt & Similar Investments >1 year 11,251,225.24 Short-Term Investments & Deposits U.S. Government Scurities ("Treasuries") U.S. Government Agency Securities ("Agencies") Bankers' Acceptances Commercial Paper - A1/P1 (or equivalent) 2,498,025.34 Other Commercial Paper - lower rated Repurchase Agreements (Repos) Money Market Mutual Funds (registered with the SEC) Short-Term Mutual Funds (registered vith the SEC) Short-Term Mutual Funds (registered to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like") TexPool (and TexPool Prime) Other Public Funds Investment Pools Functioning as Money Market Mutual Funds Other Investment Pools - Short-Term (not created to function as a money market mutual fund) 29,424,696.17 Certificates of Deposit (CD) - Nonegotiable Cartificates of Deposit (CD) - Nonegotiable Bank Deposits Cash Held at State Treasury Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral) Other - list by type Total Short-Term Investment & Deposits 48,804,699.64	Investment or Deposit Type	August 31, 2012 Market Value
registered with the SEC) GICs (Guaranteed Investment Contracts) Other - list by type Total Publicly Traded Debt & Similar Investments >1 year 11,251,225.24 Short-Term Investments & Deposits U.S. Government Securities ("Treasuries") U.S. Government Agency Securities ("Agencies") U.S. Government Agency Securities ("Agencies") Repurchase Agreements (Repos) Money Market Mutual Funds (registered with the SEC) Short-Term Mutual Funds (registered with the SEC) Short-Term Mutual Funds (registered with the SEC) Short-Term Mutual Funds (registered to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like") TexPool (and TexPool Prime) Other Public Funds Investment Pools Functioning as Money Market Mutual Funds Other Public Funds Investment Pools Functioning as Money Market Mutual Funds Other Public Funds Investment Pools Functioning as Money Market Mutual Funds Other Public Funds Investment Pools Functioning as Money Market Mutual Funds Other Public Funds Investment Pools Functioning as a money market mutual fund) 29,424,696.17 Certificates of Deposit (CD) - Nonegotiable Certificates of Deposit (CD) - Nonegotiable Certificates of Deposit (CD) - Nonegotiable Cash Held at State Treasury Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral) Other - list by type Total Short-Term Investments & Deposit 48,804,699.64	"Commonfund" Fixed Income/Bond Commingled Funds	
Gics (Guaranteed Investment Contracts)       Total Publicly Traded Debt & Similar Investments >1 year       11,251,225.24         Short-Term Investments & Deposits       11,251,225.24         U.S. Government Securities ("Treasuries")       16,881,978.13         Bankers' Acceptances       2,498,025.34         Other Commercial Paper - Investment Repos)       2,498,025.34         Other Commercial Paper - Investment Repos)       2,498,025.34         Money Market Mutual Funds (registered with the SEC)       Short-Term Mutual Funds (registered with the SEC)         Short-Term Mutual Funds Other than Money Market Mutual Funds (registered w/ SEC but "2a7-like")       TexPool (and TexPool Prime)         Other Public Funds Investment Pool Created to Function as a Money Market Mutual Funds       29,424,696.17         Certificates of Deposit (CD) - Nonnegotiable       20,424,696.17         Certificates of Deposit (CD) - Nonnegotiable       20,424,696.17         Certifica	-	
Other - list by type       Total Publicly Traded Debt & Similar Investments >1 year       11,251,225.24         Short-Term Investments & Deposits       10,881,978.13         Bankers' Acceptances       16,881,978.13         Commercial Paper - A1/P1 (or equivalent)       2,498,025.34         Other Commercial Paper - lower rated       2,498,025.34         Repurchase Agreements (Repos)       8000000000000000000000000000000000000	registered with the SEC)	
Total Publicly Traded Debt & Similar Investments >1 year       11,251,225.24         Short-Term Investments & Deposits       10,81,978.13         Bankers' Acceptances       16,881,978.13         Commercial Paper - A1/P1 (or equivalent)       2,498,025.34         Other Commercial Paper - Iower rated       2,498,025.34         Repurchase Agreements (Repos)       2         Money Market Mutual Funds (registered with the SEC)       5         Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)       2         Public Funds Investment Pool Created to Function as a Money Market Mutual Funds       2         Other Public Funds Investment Pools Functioning as Money Market Mutual Funds       2         Other Investment Pools - Short-Term (not created to function as a money market mutual fund)       29,424,696.17         Certificates of Deposit (CD) - Nonegotiable       2         Cartificates of Deposit (CD) - Nonegotiable       2         Cartificates of Deposit (CD) - Nonegotiable       2         Cash Held at State Treasury       3         Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)       48,804,699.64         Other - list by type       48,804,699.64	GICs (Guaranteed Investment Contracts)	
Short-Term Investments & Deposits         U.S. Government Securities ("Treasuries")         U.S. Government Agency Securities ("Agencies")         Bankers' Acceptances         Commercial Paper - A1/P1 (or equivalent)         Other Commercial Paper - lower rated         Repurchase Agreements (Repos)         Money Market Mutual Funds (registered with the SEC)         Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)         Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")         TexPool (and TexPool Prime)         Other Public Funds Investment Pools Functioning as Money Market Mutual Funds         Other Investment Pools - Short-Term (not created to function as a money market mutual fund)       29,424,696.17         Certificates of Deposit (CD) - Nonnegotiable       29,424,696.17         Certificates of Deposit (CD) - Negotiable       2         Bank Deposits       2         Cash Held at State Treasury       Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)         Other - list by type       Total Short-Term Investments & Deposits         48,804,699.64       48,804,699.64	Other - list by type	
U.S. Government Securities ("Treasuries") U.S. Government Agency Securities ("Agencies") Bankers' Acceptances Commercial Paper - A1/P1 (or equivalent) Other Commercial Paper - lower rated Repurchase Agreements (Repos) Money Market Mutual Funds (registered with the SEC) Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC) Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like") TexPool (and TexPool Prime) Other Public Funds Investment Pools Functioning as Money Market Mutual Funds Other Investment Pools - Short-Term (not created to function as a money market mutual fund) Certificates of Deposit (CD) - Nonnegotiable Certificates of Deposit (CD) - Negotiable Bank Deposits Cash Held at State Treasury Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral) Other - list by type Total Short-Term Investments & Deposits 48,804,699.64	Total Publicly Traded Debt & Similar Investments >1 year	11,251,225.24
U.S. Government Agency Securities ("Agencies") 16,881,978.13 Bankers' Acceptances 2,498,025.34 Other Commercial Paper - A1/P1 (or equivalent) 2,498,025.34 Other Commercial Paper - lower rated 2,498,025.34 Other Stream Mutual Funds (registered with the SEC) Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like") TexPool (and TexPool Prime) Other Public Funds Investment Pools Functioning as Money Market Mutual Funds Other Investment Pools - Short-Term (not created to function as a money market mutual fund) 29,424,696.17 Certificates of Deposit (CD) - Nonegotiable Certificates of Deposit (CD) - Nonegotiable Certificates of Deposit (CD) - Negotiable Bank Deposits Cash Held at State Treasury Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral) Other - list by type 48,804,699.64		
Bankers' Acceptances       2,498,025.34         Commercial Paper - A1/P1 (or equivalent)       2,498,025.34         Other Commercial Paper - lower rated       Repurchase Agreements (Repos)         Money Market Mutual Funds (registered with the SEC)       Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)         Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")       TexPool (and TexPool Prime)         Other Public Funds Investment Pools Functioning as Money Market Mutual Funds       29,424,696.17         Certificates of Deposit (CD) - Nonnegotiable       29,424,696.17         Certificates of Deposit (CD) - Negotiable       29,424,696.17         Bank Deposits       Cash Held at State Treasury         Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)       0ther - list by type         Total Short-Term Investments & Deposits       48,804,699.64	U.S. Government Securities ("Treasuries")	
Commercial Paper - A1/P1 (or equivalent)2,498,025.34Other Commercial Paper - lower ratedRepurchase Agreements (Repos)Money Market Mutual Funds (registered with the SEC)Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")TexPool (and TexPool Prime)Other Investment Pools - Short-Term (not created to function as a money market mutual fund)29,424,696.17Certificates of Deposit (CD) - NonnegotiableCertificates of Deposit (CD) - NongotiableBank DepositsCash Held at State TreasurySecurities Lending Collateral Reinvestments (direct investments or share of pooled collateral)Other - list by typeTotal Short-Term Investments & Deposits48,804,699.64		16,881,978.13
Other Commercial Paper - lower rated         Repurchase Agreements (Repos)         Money Market Mutual Funds (registered with the SEC)         Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)         Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")         TexPool (and TexPool Prime)         Other Public Funds Investment Pools Functioning as Money Market Mutual Funds         Other Investment Pools - Short-Term (not created to function as a money market mutual fund)       29,424,696.17         Certificates of Deposit (CD) - Nonnegotiable       29,424,696.17         Certificates of Deposit (CD) - Negotiable       28         Bank Deposits       2ash Held at State Treasury         Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)       0         Other - list by type       Total Short-Term Investments & Deposits		
Repurchase Agreements (Repos)         Money Market Mutual Funds (registered with the SEC)         Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)         Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")         TexPool (and TexPool Prime)         Other Public Funds Investment Pools Functioning as Money Market Mutual Funds         Other Investment Pools - Short-Term (not created to function as a money market mutual fund)       29,424,696.17         Certificates of Deposit (CD) - Nonnegotiable       29,424,696.17         Certificates of Deposit (CD) - Negotiable       48,804,699.64         Bank Deposits       Cash Held at State Treasury         Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)       48,804,699.64		2,498,025.34
Money Market Mutual Funds (registered with the SEC)         Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)         Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")         TexPool (and TexPool Prime)         Other Public Funds Investment Pools Functioning as Money Market Mutual Funds         Other Investment Pools - Short-Term (not created to function as a money market mutual fund)       29,424,696.17         Certificates of Deposit (CD) - Nonnegotiable       29,424,696.17         Certificates of Deposit (CD) - Negotiable       29         Bank Deposits       23         Cash Held at State Treasury       28         Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)       248,804,699.64		
Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC) Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like") TexPool (and TexPool Prime) Other Public Funds Investment Pools Functioning as Money Market Mutual Funds Other Investment Pools - Short-Term (not created to function as a money market mutual fund) 29,424,696.17 Certificates of Deposit (CD) - Nonnegotiable Certificates of Deposit (CD) - Negotiable Bank Deposits Cash Held at State Treasury Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral) Other - list by type Total Short-Term Investments & Deposits 48,804,699.64	Repurchase Agreements (Repos)	
Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")         TexPool (and TexPool Prime)         Other Public Funds Investment Pools Functioning as Money Market Mutual Funds         Other Investment Pools - Short-Term (not created to function as a money market mutual fund)       29,424,696.17         Certificates of Deposit (CD) - Nonnegotiable       29,424,696.17         Certificates of Deposit (CD) - Negotiable       29,424,696.17         Bank Deposits       28,424,696.17         Cash Held at State Treasury       29,424,696.17         Other - list by type       Total Short-Term Investments & Deposits         48,804,699.64       48,804,699.64	Money Market Mutual Funds (registered with the SEC)	
TexPool (and TexPool Prime)       Other Public Funds Investment Pools Functioning as Money Market Mutual Funds         Other Investment Pools - Short-Term (not created to function as a money market mutual fund)       29,424,696.17         Certificates of Deposit (CD) - Nonnegotiable       29,424,696.17         Certificates of Deposit (CD) - Negotiable       29         Bank Deposits       28         Cash Held at State Treasury       29         Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)       0         Other - list by type       48,804,699.64	Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)	
Other Public Funds Investment Pools Functioning as Money Market Mutual Funds       29,424,696.17         Other Investment Pools - Short-Term (not created to function as a money market mutual fund)       29,424,696.17         Certificates of Deposit (CD) - Nonnegotiable       29,424,696.17         Certificates of Deposit (CD) - Negotiable       29,424,696.17         Bank Deposits       29,424,696.17         Cash Held at State Treasury       29,424,696.17         Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)       29,424,696.17         Other - list by type       48,804,699.64		"2a7-like")
Other Investment Pools - Short-Term (not created to function as a money market mutual fund)       29,424,696.17         Certificates of Deposit (CD) - Nonnegotiable       29,424,696.17         Certificates of Deposit (CD) - Negotiable       29,424,696.17         Bank Deposits       29,424,696.17         Cash Held at State Treasury       29,424,696.17         Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)       29,424,696.17         Other - list by type       48,804,699.64		
Certificates of Deposit (CD) - Nonnegotiable Certificates of Deposit (CD) - Negotiable Bank Deposits Cash Held at State Treasury Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral) Other - list by type Total Short-Term Investments & Deposits 48,804,699.64		29.424.696.17
Certificates of Deposit (CD) - Negotiable Bank Deposits Cash Held at State Treasury Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral) Other - list by type Total Short-Term Investments & Deposits 48,804,699.64	•	2771217070117
Bank Deposits         Cash Held at State Treasury         Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)         Other - list by type         Total Short-Term Investments & Deposits         48,804,699.64		
Cash Held at State Treasury Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral) Other - list by type Total Short-Term Investments & Deposits 48,804,699.64		
Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral) Other - list by type Total Short-Term Investments & Deposits 48,804,699.64	•	
Other - list by type Total Short-Term Investments & Deposits 48,804,699.64	-	
Total Short-Term Investments & Deposits48,804,699.64		
		48,804,699.64
	TOTAL INVESTMENTS and DEPOSITS	83,253,847.43

## Texas Southern University Business and Financial Services Annual Investment Disclosure Fiscal Year 2012

In accordance with Chapter 2.3 of the State Auditor's Office Report No. 02-058, the following information is submitted:

 Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval? Yes, the institution employs outside investment managers and they have authority to make investments decisions within the investment policy guidelines without obtaining prior approval. The investment managers are as follows:

Gray & Company 3333 Piedmont Rd NE Suite 1250 Atlanta, Georgia 30305

Smith Graham & Company 6900 Chase Tower 600 Travis Houston, Texas 77002

Davis Advisors 609 Fifth Avenue 11<sup>th</sup> Floor New York City, New York 10017

Markston International 50 Main Street Suite 285 White Plains, New York 10606

- 2. Does the institution use soft dollar arrangements (a means of paying for services through brokerage commission revenue, rather than through direct payments)? If the answer to this question is yes, the institution must provide a copy of the guidelines that govern the use of soft dollar arrangements. No, the institution does not use soft dollar arrangements.
- 3. Is the institution associated with an independent endowment or foundation? (If the answer to this question is yes, the institution must provide contact information [name and address] for the individual[s] who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment's or foundation's investments.) Yes, the institution is associated with independent foundations. They are as follows:

Texas Southern University Foundation Attn: Wendy Adair/ 713-313-6882 3100 Cleburne Houston, Texas 77004 Market Value of Endowment/Investments: Unavailable at this time

Texas Southern University Alumni Association Attn: Marcus Davis (713) 528-8561 3711 Travis Street Houston, Texas 77002 Email:marcus@thebreakfastklub.com Market Value of Endowment/Investments: Unavailable at this time