

# Texas Southern University

## Summary of Investments

Investment Type	August 31, 2015 Book Value	August 31, 2015 Market Value
<b>Equity Securities</b>		
U.S. Common Stock	28,241,970.60	28,291,689.48
Preferred Stock	-	-
Foreign Stock	2,986,606.65	2,987,531.65
Equity Mutual Funds	-	-
Total Investments at August 31, 2012	-	-
Total Equity Securities	31,228,577.25	31,279,221.13
<b>Other Investments</b>		
Real Estate	-	-
Private Equity	-	-
Annuities	-	-
Collective Endowment Funds	-	-
Commodities	-	-
Collectibles	-	-
Other (list)	-	-
Total Other Investments	-	-
<b>Debt Investments &gt; 1 Year</b>		
U.S. Government	4,650,012.50	4,669,978.99
U.S. Government Agency	1,527,880.57	1,535,726.03
Mortgage Pass-Through	-	-
<b>CMOs:</b>		
Interest Only Strips	-	-
Principal Only Strips	-	-
Inverse Floaters	-	-
Stated Maturities longer than 10 years	-	-
PFIA Compliant CMOs	-	-
Other Asset-Backed Bonds	-	-
Foreign Issued Obligations	-	-
Municipal Obligations	-	-
<b>Corporate Obligations:</b>		
Highly Rated (AAA/AA)	1,761,545.68	1,770,139.04
Investment Grade (A/BBB)	2,901,786.87	2,937,278.60
NR/High Yield (<BBB)	120,381.80	121,798.05
GICs	-	-
Bond Mutual Funds	-	-
Other (list)	-	-
Total Debt Investments > 1 year	10,961,607.42	11,034,920.71
<b>Short Term Investments</b>		
U.S. Government	-	-
U.S. Government Agency	2,546,255.89	2,546,373.49
CDs/Bas	-	-
A1/P1 Commercial Paper	-	-
Repurchase Agreements	-	-
TexPool	-	-
Other Money Market Funds and Pools	13,461,666.67	13,461,832.64
Bank Deposits	-	-
Cash Held at State Treasury	-	-
Other (list)	-	-
Total Short Term Investments	16,007,922.56	16,008,206.13
<b>TOTAL INVESTMENTS</b>	<b>58,198,107.23</b>	<b>58,322,347.97</b>

**Texas Southern University**  
**Statement of Changes in Investment Assets**  
**August 31, 2015**

	<u>Book Value</u>	<u>Market Value</u>
<b>Beginning Investment Assets - 6/1/2015</b>	60,170,587.64	60,284,870.17
Receipts/Contributions	1,325,764.11	1,325,764.11
Investment Income	218,497.62	218,497.62
Administrative Expenses	(81,465.81)	(81,465.81)
Distributions	(1,428,241.76)	(1,428,241.76)
Net Realized Gains (Losses)	729,345.90	739,304.11
Changes in Net Unrealized Appreciation (Depreciation)	(2,736,380.47)	(2,736,380.47)
<b>Ending Investment Assets - 8/31/2015</b>	<u><u>58,198,107.23</u></u>	<u><u>58,322,347.97</u></u>

**Texas Southern University**  
Annual Investment Report (Including Deposits)

Investment or Deposit Type

August 31, 2015 Market Value

**Publicly Traded Equity and Similar Investments**

internally managed by institution investment staff; exclude mutual or commingled funds)	31,279,221.13
Equity/Stock Mutual Funds	
Balanced Mutual Funds (where target allocation is > 50% equities)	
"Commonfund" Equity Commingled Funds	
Other Equity Commingled Funds (if primarily invested in publicly traded equities)	
Preferred Stock	
Other - list by type	
<b>Total Publicly Traded Equity and Similar Investments</b>	<b>31,279,221.13</b>

**"Other" Investments - Other than Publicly Traded Equity and Debt Investments**

Real Estate (include direct ownership & investments in real estate limited partnerships, private REITs, or similar vehicles; include a portfolio of publicly traded REITs if managed as a separate asset allocation category rather than comprising part of a broadly diversified stock portfolio )	
Other Real Asset Investments (e.g. investments in infrastructure funds)	
Private Equity	
Hedge Funds	
"Commonfund" Alternative Asset Commingled Funds (Real Estate, Private Equity, Hedge Funds, Commodities, etc.)	
Annuities	
Commodities	
Collectibles	
Other - list by type	
<b>Total "Other" Investments - Other than Publicly Traded Equity &amp; Debt Investments</b>	<b>0.00</b>

**Publicly Traded Debt & Similar Investments >1 year maturity**

U.S. Government Securities ("Treasury's")	4,669,978.99
U.S. Government Agency Securities ("Agencies")	1,535,726.03
Mortgage Pass-Throughs - "Agency"	
Mortgage Pass-Throughs - "Private Label"	
Asset-Backed Securities (ABS) (other than mortgage-backed securities)	
Sovereign Debt (non-U.S.)	
Municipal Obligations	
Collateralized Mortgage Obligations (CMOs) - list below by category	
Interest Only Strips (IOs)	
Principal Only Strips (POs)	
Inverse Floaters	
Stated Final Maturity longer than 10 years	
Other CMOs - "Agency"	
Other CMOs - "Private Label"	
Corporate Obligations (U.S. or foreign companies) - list below by rating	
Highly Rated (AAA/AA or equivalent)	1,770,139.04
Other Investment Grade (A/BBB or equivalent)	2,937,278.60
High Yield Bonds (<BBB or equivalent)	121,798.05
Not Rated (NR)	
Fixed Income/Bond Mutual Funds (longer term; registered with the SEC securities)	
"Commonfund" Fixed Income/Bond Commingled Funds	
Other Fixed Income/Bond Commingled Funds (primarily invested in publicly traded debt securities; not registered with the SEC)	
GICs (Guaranteed Investment Contracts)	
Other - list by type	
<b>Total Publicly Traded Debt &amp; Similar Investments &gt;1 year</b>	<b>11,034,920.71</b>

**Short-Term Investments & Deposits**

U.S. Government Securities ("Treasury's")	
U.S. Government Agency Securities ("Agencies")	2,546,373.49
Bankers' Acceptances	
Commercial Paper - A1/P1 (or equivalent)	
Other Commercial Paper - lower rated	
Repurchase Agreements (Repos)	
Money Market Mutual Funds (registered with the SEC)	
SEC)	
Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")	
TexPool (and TexPool Prime)	
Other Public Funds Investment Pools Functioning as Money Market Mutual Funds	13,461,832.64
Other Investment Pools - Short-Term (not created to function as a money market mutual fund)	
Certificates of Deposit (CD) - Nonnegotiable	
Certificates of Deposit (CD) - Negotiable	
Bank Deposits	
Cash Held at State Treasury collateral)	
Other - list by type	
<b>Total Short-Term Investments &amp; Deposits</b>	<b>16,008,206.13</b>

**TOTAL INVESTMENTS and DEPOSITS**

**58,322,347.97**