

Texas Southern University

Summary of Investments

Investment Type	November 30, 2016 Book Value	November 30, 2016 Market Value
Equity Securities		
U.S. Common Stock	29,978,748.91	30,038,997.17
Preferred Stock	-	-
Foreign Stock	5,063,139.58	5,063,758.33
Equity Mutual Funds	-	-
Total Investments at August 31, 2012	-	-
Total Equity Securities	35,041,888.49	35,102,755.50
Other Investments		
Real Estate	-	-
Private Equity	-	-
Annuities	-	-
Collective Endowment Funds	-	-
Commodities	-	-
Collectibles	-	-
Other (list)	-	-
Total Other Investments	-	-
Debt Investments > 1 Year		
U.S. Government	3,557,130.50	3,566,671.66
U.S. Government Agency	2,470,146.69	2,477,684.73
Mortgage Pass-Through	-	-
CMOs:		
Interest Only Strips	-	-
Principal Only Strips	-	-
Inverse Floaters	-	-
Stated Maturities longer than 10 years	-	-
PFIA Compliant CMOs	-	-
Other Asset-Backed Bonds	-	-
Foreign Issued Obligations	-	-
Municipal Obligations	-	-
Corporate Obligations:		
Highly Rated (AAA/AA)	1,777,685.66	1,786,751.01
Investment Grade (A/BBB)	3,842,235.95	3,867,593.51
NR/High Yield (<BBB)	234,032.70	237,635.20
GICs		
Bond Mutual Funds		
Other (list)		
Total Debt Investments > 1 year	11,881,231.50	11,936,336.11
Short Term Investments		
U.S. Government	-	-
U.S. Government Agency	1,749,790.89	1,750,090.81
CDs/Bas	-	-
A1/P1 Commercial Paper	-	-
Repurchase Agreements	-	-
TexPool	-	-
Other Money Market Funds and Pools	79,745,214.91	79,748,681.69
Bank Deposits	-	-
Cash Held at State Treasury	-	-
Other (list)	-	-
Total Short Term Investments	81,495,005.80	81,498,772.50
TOTAL INVESTMENTS	128,418,125.79	128,537,864.11

Texas Southern University
Statement of Changes in Investment Assets
November 30, 2016

	<u>Book Value</u>	<u>Market Value</u>
Beginning Investment Assets - 9/1/2016	69,208,765.00	69,337,252.08
Receipts/Contributions	61,995,007.59	61,995,007.59
Investment Income	346,325.33	346,325.33
Administrative Expenses	(110,165.94)	(110,165.94)
Distributions	(3,532,077.49)	(3,543,420.99)
Net Realized Gains (Losses)	-	-
Changes in Net Unrealized Appreciation (Depreciation)	510,271.30	512,866.04
Ending Investment Assets - 11/30/2016	<u><u>128,418,125.79</u></u>	<u><u>128,537,864.11</u></u>